



CPA/2841
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S&H Form: PTO/SB/29 (2/01)

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

(INCLUDING FILING FEE AND/OR PETITION FOR
EXTENSION OF TIME AND FEE)

Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

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|---|--|------------------|-------------|
| To: Assistant Commissioner for Patents Box CPA Washington, DC 20231 | Attorney Docket No. | 1185.1047 | |
| | Express Mail Label No. | | |
| First Named Inventor | Hiroshi Yamazaki et al. | | |
| Application No. | 09/330,016 | Group Art Unit | 2871 |
| Filing Date | June 11, 1999 | Examiner | Dung Nguyen |
| CPA Filing Date | July 8, 2002 | Confirmation No. | |
| Title of Invention | SURFACE LIGHT SOURCE DEVICE OF SIDE LIGHT TYPE, LIQUID CRYSTAL DISPLAY AND GUIDE PLATE | | |

This is a request for a ☒ continuation or a ☐ divisional application under 37 CFR 1.53(d) (continued prosecution application (CPA)) of the above-identified, prior nonprovisional application.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 USC 154(a)(2).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

1. ☐ Enter the unentered amendment previously filed on under 37 CFR 1.116 in the above-noted prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:

 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ PTO-1449
 - b. ☐ Copies of IDS Citations

| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|--|---------|------------------|------------------|--------------|------------------|
| TOTAL CLAIMS | 9 | - 20 = | 0 | X \$ 18.00 = | \$ 0.00 |
| INDEPENDENT CLAIMS | 3 | - 3 = | 0 | X \$ 84.00 = | 0.00 |
| MULTIPLE DEPENDENT CLAIMS (if applicable) | | | | + \$280.00 = | |
| | | | | BASIC FEE | 740.00 |
| Since an Official Action set an <u>original</u> due date of __, petition is hereby made for an extension of time to cover the date this CPA is filed, for which the requisite fee is enclosed (1 month (\$110); 2 months (\$400); 3 months (\$920); 4 months (\$1,440); 5 months (\$1,960)): | | | | | |
| Total of above Calculations = | | | | | \$ 740.00 |
| Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28). | | | | | |
| TOTAL FEES DUE = | | | | | \$ 740.00 |

6. ☐ Small entity status:
- a. ☐ A Verified Statement Claiming Small Entity Status is enclosed.
- b. ☐ A Verified Statement Claiming Small Entity Status was previously filed and such status is still proper and desired.
- c. ☐ is no longer claimed.
7. ☐ Applicant requests suspension of action under 37 C.F.R. 1.103(b) for a period of months (not to exceed 3 months) and the fee under 37 C.F.R. 1.17(i) is enclosed.
8. ☒ This application is assigned of record to Enplas Corporation of Kawaguchi-shi, Japan at Reel 010042, Frame 0159 (for publication of assignee information under 37 CFR 1.215(b)).
9. ☐ Other:

10. METHOD OF PAYMENT

- ☒ A check in the amount of \$ 740.00 is enclosed.
- ☐ Charge "TOTAL FEES DUE" to Deposit Account No. 19-3935. (A duplicate copy of this form is enclosed.)
- ☐ No payment is enclosed and no charges to the Deposit Account 19-3935 are authorized at this time (unless specifically required to maintain pendency and/or to receive a filing date).

11. GENERAL AUTHORIZATION

- ☒ The Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application and of any related application(s) claiming benefit hereof pursuant to 35 USC § 120 to maintain pendency hereof and of any such related application to: **Deposit Account No. 19-3935.**

12. NEW CORRESPONDENCE ADDRESS

21171

PATENT TRADEMARK OFFICE

13. SIGNATURE OF ATTORNEY OR AGENT REQUIRED

| | | | |
|-----------|----------------------|------------------|--------------|
| NAME | Mark J. Henry | REGISTRATION NO. | 36,162 |
| SIGNATURE | <i>Mark J. Henry</i> | DATE | July 8, 2002 |



Docket No.: 1185.1047

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Hiroshi Yamazaki et al.

Serial No. 09/330,016

Group Art Unit: 2871

Confirmation No.

Filed: June 11, 1999

Examiner: Dung Nguyen

For: SURFACE LIGHT SOURCE DEVICE OF SIDE LIGHT TYPE, LIQUID CRYSTAL
DISPLAY AND GUIDE PLATE

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Before examination of the above-identified application, please amend the application as follows:

IN THE CLAIMS:

Please AMEND claims 1, 4 and 7 in accordance with the following:

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1. (TWICE AMENDED) A surface light source device of side light type comprising:
a guide plate having a minor face to provide an incidence end face and two major faces
to provide an emission face and a back face; and
a primary light source providing primary light to the guide plate through the incidence
end face, wherein
said emission face is provided with a plurality of light scattering elements distributed
according to a predetermined pattern,
said emission face having a rough area formed around said light scattering elements
such that the light scattering elements and an area surrounding the light scattering elements
are roughened, and
said rough area having a roughness degree which is less than that of said light
scattering elements.
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